



PLUTUS SECURITIES LIMITED
貝德斯證券有限公司

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Account No(s) 帳戶號碼: _____

Date 日期: _____

Licensed Corporation with the Securities and Future Commission ("SFC") (CE No. BNJ530) and Exchange Participant of The Stock Exchange of Hong Kong Ltd.
為證券及期貨事務監察委員會 ("證監會") 註冊之持牌法團 (CE 編號 BNJ530) 及香港聯合交易所有限公司參與者。

ACCOUNT TYPE 帳戶種類	
Type of Account 帳戶類別:	<input type="checkbox"/> Securities Cash Account 證券帳戶 (現金) <input type="checkbox"/> Securities Margin Account 孖展帳戶 (保證金)
Internet Trading 網上交易帳戶	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否

CORPORATE CLIENT INFORMATION 公司客戶資料	
Entity Type 公司性質:	<input type="checkbox"/> Sole Proprietorship 獨資經營 <input type="checkbox"/> Listed Company 上市公司 <input type="checkbox"/> Unlisted Limited Company 非上市有限公司 <input type="checkbox"/> Partnership 合資經營 <input type="checkbox"/> Unlimited Company 無限公司 <input type="checkbox"/> Others 其他
Name of Company / Corporate in English 公司名稱 (英文)	Name of Company / Corporate in Chinese 公司名稱 (中文)
Country of Incorporation 註冊成立國家	Date of Incorporation 註冊成立日期
Business Registration No. 商業登記號碼	Certificate of Incorporation No. 公司註冊號碼
Registered Address 註冊地址	
Principal Business Address in Hong Kong 在香港的主要營業地址	<input type="checkbox"/> Same as above Registered Address 與上述註冊地址相同
Correspondence Address 通訊地址	<input type="checkbox"/> Same as above Principal Business Address in Hong Kong 與上述在香港的主要營業地址相同
Office Tel. No. 辦公室電話 ()	Facsimile No. 傳真號碼 ()
E-mail Address 電郵地址	
CCASS Investor Account Name & No. 中央結算投資者帳戶名稱及號碼	

METHOD OF COMMUNICATION 通訊方法	
<input type="checkbox"/> By E-mail 電子郵件	
<input type="checkbox"/> By Mail 郵遞	<input type="checkbox"/> Correspondence Address 通訊地址 <input type="checkbox"/> Registered Address 註冊地址

* HK\$50 per month will be charged if you choose the Correspondence Address / Registered Address for receiving the trading confirmation statements.
若客戶選擇透過通訊地址註冊地址接收交易確認通知書或帳戶結單，將收取每月五十元服務費。

OPERATION OF THE ACCOUNT 帳戶操作			
The Account(s) may be operated by 帳戶可由以下人士操作：			
(a) Signing Instruction: Any _____ of the Authorized Signer(s) signing singly / jointly 簽署授權：任何 _____ 位獲授權人單獨 / 聯合簽署			
(b) Trading Authorization: Given by any one of the Trading Representative(s) 交易授權：任何一位交易人員作出交易指示			
Are all the Authorized Signer(s) also act as the Trading Representative(s) for the Account(s)? 所有帳戶的獲授權簽署人是否亦為帳戶的交易代表? <input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否			
Specimen Signature of Authorized Signatory (ies) 獲授權人士簽署式樣			
(1) Authorized Signer for the Account(s) 帳戶的獲授權簽署人			
Full Name 全名	HKID / Passport No. 香港身份證 / 護照號碼	Nationality 國籍	Tel. No. 電話號碼
Residential Address 住址			
Signature (as specimen signature) 簽署 (亦作簽名式樣)			
(2) Authorized Signer for the Account(s) 帳戶的獲授權簽署人			
Full Name 全名	HKID / Passport No. 香港身份證 / 護照號碼	Nationality 國籍	Tel. No. 電話號碼
Residential Address 住址			
Signature (as specimen signature) 簽署 (亦作簽名式樣)			
(3) Authorized Signer for the Account(s) 帳戶的獲授權簽署人			
Full Name 全名	HKID / Passport No. 香港身份證 / 護照號碼	Nationality 國籍	Tel. No. 電話號碼
Residential Address 住址			
Signature (as specimen signature) 簽署 (亦作簽名式樣)			
Specimen Signature of Authorized Trading 獲授權交易代表簽署式樣			
(1) Trading Representative for the Account(s) 帳戶的交易代表			
Full Name 全名	HKID / Passport No. 香港身份證 / 護照號碼	Nationality 國籍	Tel. No. 電話號碼
Residential Address 住址			
Signature (as specimen signature) 簽署 (亦作簽名式樣)			
(2) Trading Representative for the Account(s) 帳戶的交易代表			
Full Name 全名	HKID / Passport No. 香港身份證 / 護照號碼	Nationality 國籍	Tel. No. 電話號碼
Residential Address 住址			
Signature (as specimen signature) 簽署 (亦作簽名式樣)			
(3) Trading Representative for the Account(s) 帳戶的交易代表			
Full Name 全名	HKID / Passport No. 香港身份證 / 護照號碼	Nationality 國籍	Tel. No. 電話號碼
Residential Address 住址			
Signature (as specimen signature) 簽署 (亦作簽名式樣)			

FINANCIAL PROFILE (CORPORATE) 財務狀況 (公司)	
Net Asset Value 資產淨值	Latest Annual Profit 最近年度溢利
Paid-up Capital 繳足股本	Liquid Assets 流動資產
Address of Any Owned or Mortgaged Property 自置或按揭物業	
Source of Capital 資本來源 <input type="checkbox"/> Operational Profit 營運利潤 <input type="checkbox"/> Investment Income 投資收入 <input type="checkbox"/> Interest Income 利息收入 <input type="checkbox"/> Funds from shareholder(s) 股東資金 <input type="checkbox"/> External Credit 對外借貸 <input type="checkbox"/> Others 其他 _____	
Amount of Net Current Asset Value 淨流動資產值 <input type="checkbox"/> HK\$1,000,000 or Less 或以下 <input type="checkbox"/> HK\$1,000,001 - HK\$5,000,000 <input type="checkbox"/> HK\$5,000,001 - HK\$10,000,000 <input type="checkbox"/> Above HK\$10,000,000 以上	

INVESTMENT OBJECTIVE AND PROFILE 投資目標及經驗	
Investment Objective 投資目標 <input type="checkbox"/> Hedging 對沖 <input type="checkbox"/> Speculative 投機 <input type="checkbox"/> Capital Gain 資本增值 <input type="checkbox"/> Others 其他 _____	
Investment Profile (Available to choose more than one option) 投資經驗 (可選多於一項)	
Stocks 股票 <input type="checkbox"/> Yes 有, ___ years 年 <input type="checkbox"/> No 無	CBBC 牛熊證 <input type="checkbox"/> Yes 有, ___ years 年 <input type="checkbox"/> No 無
Futures 期貨 <input type="checkbox"/> Yes 有, ___ years 年 <input type="checkbox"/> No 無	Fund 基金 <input type="checkbox"/> Yes 有, ___ years 年 <input type="checkbox"/> No 無
Options 期權 <input type="checkbox"/> Yes 有, ___ years 年 <input type="checkbox"/> No 無	Warrants 衍生權證(窩輪) <input type="checkbox"/> Yes 有, ___ years 年 <input type="checkbox"/> No 無
Others 其他 _____	
Risk Tolerance 可承受風險 <input type="checkbox"/> Aggressive 進取型 <input type="checkbox"/> Moderate 均長型 <input type="checkbox"/> Conservative 保守型	
Knowledge of Derivatives Products 衍生產品知識	
(1) Does the client have general knowledge of derivatives products? <input type="checkbox"/> Yes 有 <input type="checkbox"/> No 無 客戶有沒有衍生產品知識?	
(2) If yes, how did the client acquire those relevant knowledge? <input type="checkbox"/> Yes 有 <input type="checkbox"/> No 無 如有, 從何種途徑獲得有關知識?	
<input type="checkbox"/> The client has undergone training or attended courses on derivatives products. 客戶曾接受有關衍生產品的培訓或修讀相關課程。	
<input type="checkbox"/> The client has executed at least 5 or more transactions in derivative products within the past three years. 客戶在過去三年內擁有五次或以上的買賣衍生產品經驗。	
<input type="checkbox"/> The client has working experience related to derivatives. 客戶擁有從事衍生產品的相關工作經驗。	

CLIENT'S RELATED ACCOUNT 客戶相關帳戶		
The Client agrees to provide information of the following persons who maintain account(s) with Plutus Securities Limited (Related Account) 客戶同意提供以下人士在貝德斯證券有限公司的帳戶 (相關帳戶)		
Corporate Client 公司客戶 :	(a) Members of the Client's same group of companies 客戶同一公司集團的成員 (b) Entity which the Client's ultimate beneficial owner and/or his/her spouse holding 35% (or more) shares of such entity 客戶最終受益人與其配偶控制客戶 35%或以上的股權	
Information of the Related Account(s) (if any) 相關帳戶資料 (如有)		
Name of Account 帳戶名稱	Account No. 帳戶號碼	Relationship 關係
_____	_____	_____
* If the Client does not provide the above information, it means that the Client does not have any Related Account. 若客戶沒有填寫以上資料, 即表示客戶並無相關帳戶。		

SETTLEMENT BANK ACCOUNT INFORMATION 結算銀行帳戶			
Unless otherwise instructed by the Client in writing, in case the Client instructs Plutus Securities Limited ("Plutus") to pay the cash balance in the Account to the Client, the money will be credited to the below Client's Designated Bank Account : 除非客戶另以書面通知, 若客戶指示貝德斯證券有限公司 ("貝德斯") 支付客戶帳戶內的現金款項, 帳戶資金將被轉入客戶以下的指定銀行戶口:			
Currency 貨幣	Name of Bank 銀行名稱	Bank Account No. 銀行帳戶號碼	Name of Account Holder 銀行帳戶名稱
HKD 港幣			
CNY 人民幣			
USD 美元			

DISCLOSURE 相關披露	
Please state the Ultimate Beneficial Owner(s) of the Account 請聲明此帳戶的最終實益擁有人	Please specify 請註明 _____
Is any director, shareholder or authorized person of the Client, his/her spouse, partner, child, parents, spouse or partner of his/her child, or a close associate of the Client entrusted or has been entrusted with a prominent public function, including a head of state, head of government, senior politician, senior government, judicial or military official, senior executive of a stated-owned enterprise and an important political party official? 貴公司之任何董事、股東或獲授權人士、其配偶、伴侶、子女或父母，或公司之任何董事、股東或獲授權人士的子女的配偶或伴侶、或與公司之任何董事、股東或獲授權人士關係密切的人，是否擔任或曾擔任重要公職，包括國家元首、政府首長、資深從政者、高級政府、司法或軍事官員、國有企業高級行政人員及重要政黨幹事?	<input type="checkbox"/> No 否 <input type="checkbox"/> Yes 是，Please specify 請說明 _____
Is the company a licensed corporation registered with the SFC? 貴公司是否證監會之持牌法團?	<input type="checkbox"/> No 否 <input type="checkbox"/> Yes 是，CE No. 中央編號 _____
Is any director, shareholder or authorized person of the Client an Employee of any participant of The Stock Exchange of Hong Kong or Hong Kong Futures Exchange Limited or registered person under the Securities and Future Ordinance? 貴公司之任何董事、股東或獲授權人士是否其他香港聯合交易所或香港期貨交易所有限公司參與者或任何根據《證券及期貨條例》註冊之人士?	<input type="checkbox"/> No 否 <input type="checkbox"/> Yes 是，Name 名稱 _____ Position 職位 _____ CE No. 中央編號 _____
Is any director, substantial shareholder or authorized person of the client related to any director(s) or employee(s) of Plutus Securities or related company? 貴公司之任何董事、主要股東或獲授權人士是否與貝德斯證券或其相關公司之任何董事或職員有關連?	<input type="checkbox"/> No 否 <input type="checkbox"/> Yes 是，Staff Name 職員名稱 _____ Relationship 關係 _____

CLIENT ACKNOWLEDGEMENT AND DECLARATION 客戶確認及聲明
<p>I/We hereby declared that all information contained in this Account Opening Form is true, accurate and complete. I/We agreed that Plutus is entitled to rely fully on such information and representations for all purposes. The Client is required to fill in the form ("Change of Client Information") immediately after any material changes to the information contained in this Account Opening Form. Plutus is authorized at any time to contact anyone, including banks, brokers or any credit agency, for the purpose of verifying the information provided on this Account Opening Form.</p> <p>客戶確認本開戶表的資料均屬真實、正確及完整，並同意貝德斯可以運用這些資料及陳述作適當用途。如客戶資料有任何變更，客戶需立即填妥貝德斯提供的《更改客戶資料表》並盡快交回。貝德斯被客戶授權可於任何時間聯絡任何人，包括客戶的銀行、經紀等或任何信貸機構，藉以確定及查證本開戶表內的所有資料。</p> <p>Plutus Securities Limited intends to use client personal data in direct marketing, Such personal data includes the name, contact details, financial background and statistical data which are provided to Plutus under this Account Opening Information Form or obtained by Plutus from time to time. Plutus proposes to obtain your consent.</p> <p>本人/吾等同意貝德斯向本人/吾等發放關於財務、保險、證券、投資的服務和產品的推廣資料。本人/吾等亦同意貝德斯及其集團公司分享本人/吾等的個人資料作促銷用途。本人/吾等亦明白和接受貝德斯及其集團公司可能不時聘請第三方代表貝德斯及其集團公司提供直接促銷服務，除非本人在下方表示反對：</p> <p><input type="checkbox"/> I/We object to the Plutus use or transfer, whether for gain or not, of all kinds of my/our personal data to all classes of data transferees, for direct marketing of all classes of marketing subject.</p> <p>本人/吾等反對貝德斯使用或轉移，不論是否為得益，本人/吾等所有個人資料的種類，給予所有資料承轉人的類別以用作所有促銷產品/服務/設施的類別的直接促銷用途。</p>

CLIENT ACKNOWLEDGEMENT AND DECLARATION 客戶確認及聲明	
<p>I/We the undersigned Client(s), confirm that prior to usage of any of Plutus Securities Limited service(s), have read, understood and agreed to be bound by all the relevant terms and conditions stated in the provisions of the current version of the relevant Securities Trading Agreement and acknowledge receipt of a copy and understand that I/we can download the relevant agreements from Plutus Securities Limited's website. This document forms part of relevant Agreement(s). I/We hereby apply to open the account(s) that I/we prefer which indicated a tick in the box(es) in the "Account Type" section and agree to be bound by the Agreement(s) including its General Terms and Conditions and all relevant Schedule(s) as the same may be amended from time to time.</p> <p>本人/吾等，下述簽署此部份，明白使用任何貝德斯證券有限公司之服務前，當已閱讀、明白及接受由貝德斯證券有限公司所提供的所有有關最新版本的相關證券交易協議書的章則條款，並已收到有關條款乙份並明白可從貝德斯證券有限公司網站中下載有關條款。而本文件乃相關協議書的一部份。該本人/吾等現申請開立在「帳戶類別」方格中顯示出別號的相關帳戶，並同意接受可不時被修改的該協議書包括其一般條款及通用附表所約束。</p>	
<p>_____</p> <p>Client Signature / Authorized Signature(s) & Company Chop 公司客戶授權人簽署及公司蓋章</p>	<p>_____</p> <p>Witness Signature 見證人簽署 Witness Name 見證人姓名 _____</p>

RISK DISCLOSURE 風險聲明	
(1) The Client has been provided with a risk disclosure statement in the language of their choice (either English or Chinese). 職員已根據客戶所選擇的語言(英語或粵語)提供及解釋風險聲明。	
(2) The Client was invited to read the risk disclosure statement, to ask questions and take independent advice as they wish. 客戶已確認獲附於本表之風險披露聲明，並提出問題及徵求獨立的意見(如客戶有此意願)。	
_____ Client Signature / Authorized Signature(s) 公司客戶授權人簽署	_____ Staff Signature 職員簽署 Staff Name 職員姓名 _____ Position 職位 _____ Staff CE No. _____

Account Opening Approved by 開戶審核批准
同意及接納 Approved and Accepted by _____ Signature of Responsible Officer 負責人員簽署 Name of Responsible Officer 負責人員姓名 _____ (CE No. _____) Date 日期 _____

For Internal Use only			
AE Code 經紀	Input	Checked	
	Date	Date	
Credit/Trading Limit & Commission 信貸/交易限額及佣金			
Max. Credit/Trading Limit 最高信貸/交易額		Commission Charges 佣金收費	
HKD _____		Commission Rate : _____ % Minimum : HKD _____	
Comments 意見			